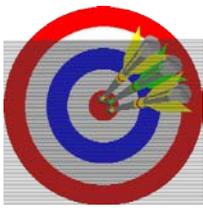


Are



you

a target for Investment Fraud?

- ✓ Age 48+
- ✓ Recent retiree
- ✓ Getting divorced
- ✓ Experienced a recent death of a loved one
- ✓ Expecting an inheritance or settlement
- ✓ Church member OR social club member
- ✓ Attending free meal investment seminars
- ✓ Recent illness, natural disaster or financial setback
- ✓ Have above-average knowledge of investments

Investment professionals and the products they sell are required by law to be either legally *registered* with the Louisiana Office of Financial Institutions (OFI) or legally *exempt* from registration with OFI.

Verify with OFI before you invest!

Call 1-877-516-3653 toll-free to:

1. **Request a background check** (i.e., *CRD Report*) **at no charge** on investment professionals registered with OFI, covering their:

<i>Background</i>	<i>Employment History with</i>
<i>Certifications</i>	<i>Terminations, Disciplinary</i>
<i>Criminal History</i>	<i>Actions & Customer</i>
<i>Pending Arbitrations</i>	<i>Complaints</i>

For fastest service:

 - Ask investment professionals for their personal *CRD Numbers* **OR** their *legal names*
 - Email your confidential request to complaints@ofi.la.gov
2. Learn if your investment professional and the product are both legally registered to be sold in Louisiana. This includes *out-of-state* solicitations.
3. Ask about exemptions to registration with OFI and suspicious investments, or submit a confidential complaint of securities fraud for investigation.

NO investment advice given or products sold

Office of the Governor
 Louisiana **O**ffice of **F**inancial **I**nstitutions (**OFI**)
 8660 United Plaza Building, 2nd Floor
 Baton Rouge, LA 70809-7024
 Phone: (225) 925-4512 Fax: (225) 925-4511
www.ofi.la.gov/SecuritiesInvestorEd.htm

Is your association or organization looking for a professional speaker with the hottest tips on avoiding investment fraud scams?



Louisiana Office Of Financial Institutions (OFI) can provide a speaker for your group, association or conference **at no charge to you!**

NO investment advice given or products sold

Become an informed investor! You can learn to protect your savings from investment fraud by following four Self-Defense tips taken from cutting-edge research, interviews with the con artists themselves, and real-life fraud cases.

Presentations range from 30 minutes to two hours, and are customized for each audience. Topics may include: victim profiles, vulnerabilities of specific age groups, popular scams and sales pitches, actual cases prosecuted in Louisiana and free resources available to help protect assets from con artists. Seminars include professional Power Point visual aids, printed handouts and audience participation.

*Speaker availability depends on schedules and expenses involved. Advance booking is advised.

Please contact:

Nancy Boudreaux

Investor Education Trainer

Office of **F**inancial **I**nstitutions (**OFI**)

Call 1-877-516-3653 toll-free

OR Email nboudreaux@ofi.la.gov

Please visit the new *Investor Education* section of OFI's website periodically for monthly updates on new scams and more free resources to protect your life savings from fraud at:

www.ofi.la.gov/SecuritiesInvestorEd.htm